

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffrey W. LUTZE et al.  
Title: Self Aligned Non-Volatile Memory Cells and Processes for Fabrication  
Application No.: 10/799,060 Filing Date: March 12, 2004  
Examiner: Mai Huong C. TRAN Group Art Unit: 2818  
Docket No.: SNDK.352US0 Conf. No.: 6740

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**CUSTOMER NO. 36257**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

According to 37 C.F.R. 1.98(2)(ii), copies of the U.S. Patents and U.S. Published Patent Applications documents are not required and are therefore not enclosed. Copies of the listed foreign patent documents and/or Other Art are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

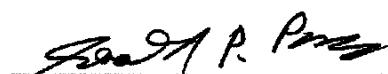
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This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664.

**FILED VIA EFS**

Respectfully submitted,



Gerald P. Parsons  
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May 31, 2006  
Date

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U.S. Department of Commerce, Patent and Trademark			Atty. Docket No.			Application No.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			SNDK.352US0			10/799,060		
			Applicant(s)			Conf. No.		
(Use several sheets if necessary)			Jeffrey W. LUTZE et al.			6740		
(Form PTO-1449)			Filing Date			Art Group		
			March 12, 2004			2818		
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	1	6,172,395	01/2001	Chen et al.				
	2	6,794,708	09/2004	Mori				
	3	6,897,524	05/2005	Kamiya				
	4	6,891,246	05/2005	Aritome				
	5	7,023,049	04/2006	Takebuchi et al.				
<b>U.S. Published Patent Application Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	6	2002/197800	12/2002	Hashimoto et al.				
<b>Foreign Patent Documents</b>								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	7	EP 1274132	01/2003	EPO				
	8	EP 1363324	11/2003	EPO				
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	9	"Planarized NVRAM Cell with Self-Aligned BL-BL and WL-BL Isolations", IBM Technical Disclosure Bulletin, IBM Corp. New York, US, Vol. 36, No. 2, 1 February 1993, pp. 375-377.						
Examiner		Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								